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10/816,887

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EXAMINER

LINDSEY, MATTHEW S

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/816,887	<b>Applicant(s)</b> KIM ET AL.	
	<b>Examiner</b> MATTHEW S. LINDSEY	<b>Art Unit</b> 2451	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 10 November 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3-6,8-10,13-19 and 22-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-6,8-10,13-19 and 22-26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. Claims 1, 3-6, 8-10, 13-19 and 22-26 are pending in this application. Claims 9 and 18 have been amended as filed on 10 November 2008.

#### ***Continued Examination Under 37 CFR 1.114***

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10 November 2008 has been entered.

#### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. **Claims 1, 5, 8, 9-10, 13-14, 18-19 and 22-23 are rejected under 35**

**U.S.C. 102(b) as being anticipated by Coley et al. (US 5,826,014).**

5. With respect to Claim 1, Coley disclosed: "A network connection apparatus (Col. 8, lines 43-46), comprising:

a computer-readable medium storing a computer program (Col. 8, lines 55-57), which when executed by a computer processor, comprises

a join module for connecting a second network, to which the join module belongs, with a first network in response to an inter-network connection request message transmitted from the first network (Col. 7, lines 35-39), setting a security level of the first network to a set security level (Col. 7, lines 54-56 and Col. 8, lines 66-67, where the levels include authorized and not authorized), and controlling network command messages in response to the set security level (Col. 7, lines 54-56 and Col. 8, lines 66-67, where communication from authorized networks are allowed and communication from unauthorized networks are discarded);

a connection module for receiving the inter-network connection request message transmitted from the first network (Col. 7, lines 42-46) and connecting the first network with the second network (Col. 8, lines 66-67);

an authentication/security module for determining whether to allow a connection of the first network that has transmitted the inter-network connection request message to the connection module (Col. 7, lines 47-50), and setting and checking the security level of the first network (Col. 7, lines 54-56 and Col. 8, lines 66-67, where the levels include authorized and not authorized); and

Art Unit: 2451

a transmission module for transmitting a requested network command message requested by the first network when the connection is allowed by the authentication/security module (Col. 10, lines 36-39);

wherein the security level is applied differently depending on the first network to be connected (Col. 9, lines 33-40)".

6. With respect to Claim 9, Coley disclosed: "A method for connecting separate networks (Col. 8, lines 43-46), comprising:

(a) transmitting an initial inter-network connection request message to a second network by a first network (Col. 7, lines 39-42);

(b) analyzing the initial inter-network connection request message and setting a security level of the first network to a set security level by the second network (Col. 7, lines 54-56 and Col. 8, lines 66-67, where the levels include authorized and not authorized);

(c) transmitting a network command message to the second network by the first network (Col. 11, lines 1-10);

(d) checking, by the second network, the set security level of the first network which has transmitted the network command message (Col. 9, lines 33-40); and

(e) transmitting the searched checked security level and the network command message to the second network (Col. 10, lines 36-39);

wherein the security level is applied differently depending on the first network to be connected (Col. 9, lines 33-40); and

Art Unit: 2451

wherein (b) comprises analyzing the initial inter-network connection request message (Col. 7, lines 42-46) and determining whether to allow a connection between the first and the second networks (Col. 7, lines 54-56 and Col. 8, lines 66-67)".

7. With respect to Claim 18, Coley disclosed: "A method for connecting separate networks (Col. 8, lines 43-46), comprising:

(a) receiving an initial inter-network connection request message from an external network (Col. 7, lines 39-42);

(b) analyzing the initial inter-network connection request message and setting a security level of the external network to a set security level (Col. 7, lines 54-56 and Col. 8, lines 66-67, where the levels include authorized and not authorized);

(c) receiving a network command message from the external network (Col. 11, lines 1-10);

(d) checking the set security level of the external network which has transmitted the network command message (Col. 9, lines 33-40); and

(e) transmitting the checked security level and the network command message to another network to which the external network is connected (Col. 10, lines 36-39);

wherein the security level is applied differently depending on the external network to be connected (Col. 9, lines 33-40); and

wherein (b) comprises analyzing the initial inter-network connection request message and determining whether to allow a connection between the external and the another networks (Col. 7, lines 54-56 and Col. 8, lines 66-67)".

8. With respect to claim 5 Coley disclosed: “The apparatus as claimed in claim 1, wherein the connection module contains connection information about the first network or the devices present in the first network (Col. 10, lines 36-39, where to forward network messages to the destination the firewall must know the address of the destination device)”.

9. With respect to claim 8 Coley disclosed: “The apparatus as claimed in claim 1, wherein the transmission module transmits the network command messages transmitted and received between the first network and the second network to which the join module belongs (Col. 7, lines 14-17)”.

10. With respect to Claims 10 and 19, Coley disclosed: “wherein the initial inter-network connection request message includes information about the first network that has transmitted the initial inter-network connection request message (Col. 9, lines 33-39, where the source address is information about the first network that has transmitted the request)”.

11. With respect to Claims 13 and 22, Coley disclosed: “wherein (e) comprises transmitting a notify message to the first network (Col. 12, lines 6-9, where initiating a connection is transmitting a notification message because the first network is notified of the newly initiated connection)”.

With respect to Claims 14 and 23, Coley disclosed: "The method as claimed in claim 9, further comprising: transmitting a response message for the network command message by the second network (Col. 7, lines 39-42, where network messages can be in the HTTP format, indicating they use TCP/IP, and TCP messages are responded to with an ACK by the recipient); and checking a security level for the response message of the second network (Col. 2, line 61 - Col. 3, line 1, where a firewall is used by private networks to check inquiries from the public network and reject unauthorized users, or users who do not meet the required security level)".

***Claim Rejections - 35 USC § 103***

12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**13. Claims 3-4, 15-16 and 24-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Coley in view of Shah et al. (US 2003/0051009 A1).**

14. With respect to Claim 3, Coley did not explicitly state: "The apparatus as claimed in claim 1, wherein the computer program stored on the computer-readable medium further comprises: a management module for collecting and managing information



Art Unit: 2451

about devices present in the second network by performing a discovery process for the devices; and a component module for generating a component representing services of the devices present in the second network on a basis of the information about the devices collected by the management module”.

However, Shah disclosed: “The apparatus as claimed in claim 1, wherein the computer program stored on the computer-readable medium further comprises: a management module for collecting and managing information about devices present in the second network (Shah, [0031], lines 1-3) by performing a discovery process for the devices (Shah, [0031], lines 7-10); and

a component module for generating a component representing services of the devices present in the second network on a basis of the information about the devices collected by the management module (Shah, [0031], lines 3-7)”.

One of ordinary skill in the art at the time of the invention would have been motivated to combine the network access system of Coley with the home network access system of Shah since they both disclose teachings related to accessing a private network from an external network.

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the network access system of Coley with the teachings of Shah to include support for a management module. Motivation to combine these references comes from Shah, where: “With such dynamically maintained records, when the external node queries about the state of a particular device, the requested

Art Unit: 2451

information may be retrieved directly from either the device cache or the device view and the response to the query may be generated without connecting to the device” ([0031], lines 10-15). Therefore by combining the references one does not have to connect to a device in order to view the status of the device.

15. With respect to Claim 4, the combination of Coley and Shah disclosed: “The apparatus as claimed in claim 3, wherein the computer program stored on the computer-readable medium further comprises:

a stack module for transmitting a control message to the devices present in the second network (Shah, [0033], lines 1-3); and

a lookup service module for storing information about the component generated by the component module in a lookup table (Shah, [0031], lines 1-5), and searching for component information of a specific device upon a request for a service of the specific device (Shah, [0031], lines 10-15)”.

16. With respect to Claims 15 and 24, Coley disclosed: “searching for devices corresponding to the checked security level (Col. 12, lines 1-5)”.

Coley did not explicitly state: “further comprising, if the network command message is a search message for looking for a device present in the second network” or “and transmitting information about the devices”.

However, Shah disclosed: “further comprising, if the network command message is a search message for looking for a device present in the second network ([0036],

Art Unit: 2451

lines 5-7)", and "and transmitting information about the devices (Shah, [0037], lines 5-10)".

One of ordinary skill in the art at the time of the invention would have been motivated to combine the network access system of Coley with the home network access system of Shah since they both disclose teachings related to accessing a private network from an external network.

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the network access system of Coley with the teachings of Shah to include support for a search message looking for a device and transmitting information about that device. Motivation to combine these references comes from Shah, where: "With such dynamically maintained records, when the external node queries about the state of a particular device, the requested information may be retrieved directly from either the device cache or the device view and the response to the query may be generated without connecting to the device" ([0031], lines 10-15). Therefore by combining the references one can obtain the status of the private network elements from the external network.

17. With respect to Claim 16, Coley did not explicitly state: "The method as claimed in claim 9, further comprising, if the network command message is a message for requesting information about a specific device present in the second network, searching component information about the specific device among component information about

Art Unit: 2451

the devices present in the second network and transmitting the component information about the specific device”.

However, Shah disclosed: “The method as claimed in claim 9, further comprising, if the network command message is a message for requesting information about a specific device present in the second network ([0026], lines 3-6), searching component information about the specific device among component information about the devices present in the second network ([0031], lines 1-10) and transmitting the component information about the specific device ([0037], lines 5-10)”.

One of ordinary skill in the art at the time of the invention would have been motivated to combine the network access system of Coley with the home network access system of Shah since they both disclose teachings related to accessing a private network from an external network.

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the network access system of Coley with the teachings of Shah to include support for a search message looking for a device and transmitting information about that device. Motivation to combine these references comes from Shah, where: “With such dynamically maintained records, when the external node queries about the state of a particular device, the requested information may be retrieved directly from either the device cache or the device view and the response to the query may be generated without connecting to the device” ([0031], lines 10-15). Therefore by combining the references one can obtain the status of the private network elements from the external network.

**18. Claims 6, 17, and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Coley in view of Zintel et al. (US 6,725,281).**

19. With respect to Claim 6, Coley did not explicitly state: “The apparatus as claimed in claim 1, wherein the connection module checks periodically whether the first network transmits a transmitted network command message every predetermined period of time, and terminates the connection if the transmitted network command message is not received within the predetermined period of time”.

However, Zintel disclosed: “The apparatus as claimed in claim 1, wherein the connection module checks periodically whether the first network transmits a transmitted network command message every predetermined period of time (Col. 36, lines 13-14), and terminates the connection if the transmitted network command message is not received within the predetermined period of time (Col. 36, lines 13-15)”.

One of ordinary skill in the art at the time of the invention would have been motivated to combine the network access system of Coley with the home network system of Zintel since they both disclose teachings related to accessing devices on a network.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the network communication system and security protocol of Coley with the teachings of Zintel to include terminating connection if a message is not received in a certain period of time. Motivation to combine these references comes

Art Unit: 2451

from Zintel, "The scenario is this: A UCP subscribes to a CD, then the UCP reboots. Meanwhile, the CD is still trying to send notifications to that UCP. If the UCP never comes back, the subscription would be leaked because the UCP never told the CD that it was going away." (Col. 36, lines 3-8). By combining the network communication and security system of Coley with the timeout feature of Zintel, the network communications will be protected against leaked subscriptions.

20. With respect to Claims 17 and 26, Coley did not explicitly state: "further comprising, if the network command message is not received from the first network within a predetermined period of time, terminating a connection between the first and the second networks".

However Zintel disclosed: "further comprising, if the network command message is not received from the first network within a predetermined period of time (Col. 36, lines 13-14), terminating a connection between the first and the second networks (Col. 36, lines 13-15)".

One of ordinary skill in the art at the time of the invention would have been motivated to combine the network access system of Coley with the home network system of Zintel since they both disclose teachings related to accessing devices on a network.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the network communication system and security protocol of Coley with the teachings of Zintel to include terminating connection if a message is

Art Unit: 2451

not received in a certain period of time. Motivation to combine these references comes from Zintel, "The scenario is this: A UCP subscribes to a CD, then the UCP reboots. Meanwhile, the CD is still trying to send notifications to that UCP. If the UCP never comes back, the subscription would be leaked because the UCP never told the CD that it was going away." (Col. 36, lines 3-8). By combining the network communication and security system of Coley with the timeout feature of Zintel, the network communications will be protected against leaked subscriptions.

### ***Response to Arguments***

21. Applicant's arguments with respect to claims 1, 3-6, 8-10, 13-19, and 22-26 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MATTHEW S. LINDSEY whose telephone number is (571)270-3811. The examiner can normally be reached on Mon-Thurs 7-5, Fridays 7-12.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Follansbee can be reached on (571) 272-3964. The fax phone

Art Unit: 2451

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MSL

1/16/2009

/John Follansbee/

Supervisory Patent Examiner, Art Unit 2451